

No: 44/2022/PHS-PL

*Ref.: disclosing the applying for the License to  
provide advance payment services for securities sales*

*Ho Chi Minh City, 10 February 2022*

**INFORMATION DISCLOSURE ON WEBSITE OF STATE SECURITIES  
COMMISSION AND THE STOCK EXCHANGES**

**To:**

- **State Securities Commission;**
- **Hanoi Stock Exchange;**
- **Ho Chi Minh Stock Exchange.**

Name: **PHU HUNG SECURITIES CORPORATION (PHS)**

Headquarters: 21<sup>st</sup> Floor, Phu My Hung Tower, 08 Hoang Van Thai, Tan Phu Ward, District 7, Ho Chi Minh City.

Phone: (+84 28) 5413 5479

Fax: (+84 28) 5413 5472

Person to implement information disclosure: Mr. Chen Chia Ken

Type of information disclosure:  24 hours  72 hours  Unusual  Periodic

**Information of disclosed content:**

According to Circular 96/2020/TT-BTC on guidance for information disclosure in the stock market, PHS sincerely discloses that the Board of Directors passed the Applying for the License to provide advance payment services for securities sales (for further information, please find the attached).

The information is disclosed on PHS's website at <https://www.phs.vn>, tab Investor Relations, and section Shareholder Information.

PHS undertakes the information disclosure above is true and takes entire responsibility to the laws for information of disclosed content.

**Attachments:**

*Resolution of Board of Directors No. 03/66\_0222/NQ-HDQT  
dated 10/02/2022.*

**Recipient:**

- *As above;*
- *Archive at Company Secretariat;*
- *Archive at Legal Division.*

*Chen*  
**PERSON TO IMPLEMENT  
INFORMATION DISCLOSURE**



**Mr. CHEN CHIA KEN**

Ref. No.: 03/66\_0222/NQ-HĐQT

Ho Chi Minh City, February 10<sup>th</sup>, 2022

**RESOLUTION**  
**BOARD OF DIRECTORS OF PHU HUNG SECURITIES CORPORATION**  
**(TERM 2019 – 2022)**

- Pursuant to Law on Enterprises;
- Pursuant to the current Law on Securities;
- Pursuant to the current Charter of Phu Hung Securities Corporation;
- Pursuant to the Meeting Minutes No. 01/66\_0222/BB-HĐQT dated February 10<sup>th</sup>, 2022 of Board of Directors of the Company;

**RESOLVE:**

**Article 1: Resolution 2**

The Board of Directors passed the Applying for a License to provide advance payment services for securities sales, main details as below:

- To submit the dossiers to SSC for the registration of the securities advance payment services for securities sales.
- To authorized for General Director to implement the process and sign all documents for this issue.

**Article 2: Organization and implementation**

Board of Directors, Inspection Committee, General Director and all the employees of Phu Hung Securities Corporation are responsible for implementing this Resolution from the signing date.

Received:

- As above Article 2;
- Archived at BOD Secretary;
- Archived at Company Secretariat.

For and on behalf of B.O.D.  
Chairman  
  
Albert Kwang-Chin Ting